

07-26-01

CPA/37888

PTO/SB/29 (10-00)

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# 17

<i>OPIE</i>	CONTINUED PROSECUTION APPLICATION (CPA) REQUEST TRANSMITTAL
Submit an original, and a duplicate for fee processing. (Only for Continuation or Divisional applications under 37 CFR 1.53(d))	
<i>JUL 25 2001</i>	
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CHECK BOX, if applicable	
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<p>Assistant Commissioner for Patents Box CPA Washington, DC 20231</p>	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">Attorney Docket No. of Prior Application</td> <td style="width: 50%;">CVT-02</td> </tr> <tr> <td>First Named Inventor</td> <td>Williamson et al.</td> </tr> <tr> <td>Examiner Name</td> <td>P. Prebilic</td> </tr> <tr> <td>Group Art Unit</td> <td>3738</td> </tr> <tr> <td>Express Mail Label No.</td> <td>EL915035266US</td> </tr> </table>	Attorney Docket No. of Prior Application	CVT-02	First Named Inventor	Williamson et al.	Examiner Name	P. Prebilic	Group Art Unit	3738	Express Mail Label No.	EL915035266US
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First Named Inventor	Williamson et al.										
Examiner Name	P. Prebilic										
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This is a request for a  continuation or  divisional application under 37 CFR 1.53(d),

(continued prosecution application (CPA)) of prior application number 09 / 306,448

filed on May 6, 1999 entitled MEANS AND METHOD OF REPLACING A HEART VALVE IN A MINIMALLY INVASIVE MANNER

#### NOTES

**FILING QUALIFICATIONS:** The prior application identified above must be a nonprovisional application that is either: (1) complete as defined by 37 CFR 1.51(b), or (2) the national stage of an international application in compliance with 35 U.S.C. 371. Effective May 29, 2000, a CPA may only be filed in a utility or a plant application if the prior nonprovisional application was filed before May 29, 2000. A CPA may be filed in a design application regardless of the filing date of the prior application. See "Request for Continued Examination Practice changes to and Provisional Application Practice," Final Rule, 65 Fed. Reg. 50092 (Aug. 16, 2000); Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Off. (Apr. 11, 2000).

**C-I-P NOT PERMITTED:** A continuation-in-part application cannot be filed as a CPA under 37 CFR 1.53(d), but must be filed under 37 CFR 1.53(b).

**EXPRESS ABANDONMENT OF PRIOR APPLICATION:** The filing of this CPA is a request to expressly abandon the prior application as of the filing date of the request for a CPA. 37 CFR 1.53(b) must be used to file a continuation, divisional, or continuation-in-part of an application that is not to be abandoned.

**ACCESS TO PRIOR APPLICATION:** The filing of this CPA will be construed to include a waiver of confidentiality by the applicant under 35 U.S.C. 122 to the extent that any member of the public who is entitled under the provisions of 37 CFR 1.14 to access to, copies of, or information concerning, the prior application may be given similar access to, copies of, or similar information concerning, the other application or applications in the file jacket.

**35 U.S.C. 120 STATEMENT:** In a CPA, no reference to the prior application is needed in the first sentence of the specification and none should be submitted. If a sentence referencing the prior application is submitted, it will not be entered. A request for a CPA is the specific reference required by 35 U.S.C. 120 and to every application assigned the application number identified in such request, 37 CFR 1.78(a).

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

1.  Enter the unentered amendment previously filed on \_\_\_\_\_ under 37 CFR 1.116 in the prior nonprovisional application.
2.  A preliminary amendment is enclosed.
3. This application is filed by fewer than all the inventors named in the prior application, 37 CFR 1.53(d)(4).  
 a.  **DELETE** the following inventor(s) named in the prior nonprovisional application:  
 .....  
 .....  
 b.  The inventor(s) to be deleted are set forth on a separate sheet attached hereto.
4.  A new power of attorney or authorization of agent (PTO/SB/81) is enclosed.
5. Information Disclosure Statement (IDS) is enclosed: 07/27/2001 GIEFFER 00000104 09306448
- a.  PTO-1449      b.  Copies of IDS Citations
- |           |           |
|-----------|-----------|
| 01 FC:231 | 355.00 OP |
| 02 FC:203 | 63.00 OP  |
| 03 FC:202 | 600.00 OP |

[Page 1 of 2]

Burden Hour Statement: This form is estimated to take 0.4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box CPA, Washington, DC 20231.

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CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS (37 CFR 1.16(c) or (i))	27 -20* =	7	x \$ 18.00 =	\$ 126.00
	INDEPENDENT CLAIMS (37 CFR 1.16(b) or (i))	18 -3** =	15	x \$ 80.00 =	1200.00
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))			+ \$ _____ =	
				BASIC FEE (37 CFR 1.16)	710.00
				Total of above Calculations =	2036.00
	Reduction by 50% for filing by small entity (Note 37 CFR 1.27).				1018.00
	* Reissue claims in excess of 20 and over original patent. ** Reissue Independent claims over original patent.			TOTAL =	1018.00

6.  Small entity status: Applicant claims small entity status. See 37 CFR 1.27.7. The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. 23 - 3000:a.  Fees required under 37 CFR 1.16.b.  Fees required under 37 CFR 1.17.c.  Fees required under 37 CFR 1.18.8.  A check in the amount of \$ 1018.00 is enclosed.9.  Payment by credit card. Form PTO-2038 is attached.10.  Applicant requests suspension of action under 37 CFR 1.103(b) for a period of \_\_\_\_\_ months (not to exceed 3 months) and the fee under 37 CFR 1.17(i) is enclosed.11.  New Attorney Docket Number, if desired CVT-02*(Prior application Attorney Docket Number will carryover to this CPA unless a new Attorney Docket Number has been provided herein.)*12. a.  Receipt For Facsimile Transmitted CPA (PTO/SB/29A)b.  Return Receipt Postcard (Should be specifically itemized, See MPEP 503)13.  Other: Petition and Fee for Extension of Time

**NOTE:** *The prior application's correspondence address will carry over to this CPA  
UNLESS a new correspondence address is provided below.*

**14. NEW CORRESPONDENCE ADDRESS** Customer Number or Bar Code Label

--

(Insert Customer No. or Attach bar code label here)

or  New correspondence address below

Name

Kevin G. Rooney  
Wood, Herron & Evans, L.L.P.

Address

2700 Carew Tower  
441 Vine Street

City

Cincinnati State OH Zip Code 45202

Country

U.S. Telephone 513-241-2324 Fax 513-421-7269

**15. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED**

Name (Print /Type)

Kevin G. Rooney

Signature

Registration No. (Attorney/Agent)

36,330

Date

July 25, 2001